

October 23, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Peter PHELPS et al.  
**Serial No.:** Not yet assigned.  
**Filed:** Concurrently herewith.  
**Title:** METHOD AND APPARATUS FOR PROTECTION SWITCH  
MESSAGING ON A SHARED MESH NETWORK  
**Agent of Record:** Max R. Wood **Tel:** (613) 780-8681

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**Mail Stop DD**

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir:

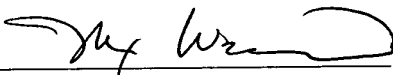
**INFORMATION DISCLOSURE STATEMENT**  
**PRIOR TO FIRST OFFICE ACTION**

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By:   
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Encls.

Form PTO-1449 (Rev. 7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY. DOCKET NO. 9-13528-206US	SERIAL NO. Not yet assigned.
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Peter PHELPS et al.	
		FILING DATE: Concurrently herewith.	GROUP

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	5,406,401	11 Apr/95	Kremer	359	110	2 Oct/92
	AB	5,442,620	15 Aug/95	Kremer	370	16.1	26 Mar/92
	AC	5,870,382	9 Feb/99	Tounai et al.	370	220	16 Jul/96
	AD	2003/0012134 A1	16 Jan/03	Ikawa	370	227	30 Jun/98
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL	0 935 357 A2	11 Aug/99	Europe	H04B	10/12	
	AM	1 059 750 A2	13 Dec/00	Europe	H04J	3/14	
	AN						
	AO						
	AP						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Article: "Shared Mesh Restoration: A Simulation Study", Mukul Goyal et al.
	AS	Article: "Fast Restoration in a Mesh Network of Optical Cross-connects", Robert D. Doverspike et al.
	AT	Internet Draft, <a href="http://www.ietf.org">www.ietf.org</a> , dated 08/07/2003.

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	